

Attachment 3

Indicative Timeframes for Submission Processing

Step Number	Steps for Submissions ⁴	Trigger for Step	Working Days from Trigger	Rules of Procedure Section
1.1	Requestors may file a submission			
1.2	PPM acknowledges receipt of the submission	Following PPM's receipt of the submission	5	6.4.2(b)
1.3	Requestors may seek confidentiality	Following PPM's acknowledgment of receipt of the submission	10	6.4.3(a)
1.4	PPM may seek additional information	Following PPM's acknowledgment of receipt of the submission	10	6.4.4(a)
Project Processing Query (PPQ):				
2.1	PPM screens the submission for eligibility and prepares its eligibility report	Following PPM's acknowledgment of receipt of the submission	10	6.4.5(a), (b); 6.5.3(a)
2.2	PPM sends its eligibility report to Requestors	Following PPM's preparation of the eligibility report	Promptly	6.4.5(b)
2.3	If PPM determines the submission is eligible, PPM registers it, and sends its eligibility report, together with the submission and its acknowledgment of receipt of the submission to the Board and Management	Following PPM's preparation of the eligibility report	5	6.4.5(c)
2.4	PPM discloses the eligible submission, its acknowledgment of receipt of the submission and its eligibility report	Following PPM's circulation of the submission, its acknowledgment and its eligibility report to the Board and Management	10	6.4.5(c)

⁴ The summaries in the column should be read in conjunction with the fuller provisions in the main text covering each of the processing steps for Project Processing Queries, Dispute Resolution and Compliance Review.

Step Number	Steps for Submissions⁴	Trigger for Step	Working Days from Trigger	Rules of Procedure Section
2.5	Management prepares and provides its response to the submission to PPM and Client	Following receipt of PPM's eligibility report	15	6.5.3(b)
2.6	PPM sends Management's response to Requestors	Following receipt of Management's response	Promptly	6.5.3(b)
2.7	PPM facilitates constructive dialogue between Management, Client, Requestors and other relevant parties	Following receipt of Management's response	Dialogue to be completed before Financing is approved	6.5.3(c)
2.8	PPM prepares and discloses a summary of actions taken	Following completion or termination of the PPQ process	10	6.5.3(e)
Dispute Resolution (DR):				
3.1	PPM screens the submission for eligibility and prepares its eligibility report	Following PPM's acknowledgment of receipt of the submission	20	6.4.5(a), (b); 6.6.4(a)
3.2	PPM sends its eligibility report to Requestors	Following PPM's preparation of its eligibility report	Promptly	6.4.5(b)
3.3	If PPM determines the submission is eligible, PPM registers it, and sends its eligibility report, together with the submission and its acknowledgment of receipt of the submission to the Board and Management	Following PPM's preparation of its eligibility report	5	6.4.5(c)
3.4	PPM discloses the eligible submission, its acknowledgment of receipt of the submission and its eligibility report	Following PPM's circulation of the submission, its acknowledgment and its eligibility report to the Board and Management	10	6.4.5(c)
3.5	Management prepares and provides its response to the	Following receipt of PPM's eligibility report	15	6.6.4(b)

Step Number	Steps for Submissions⁴	Trigger for Step	Working Days from Trigger	Rules of Procedure Section
	eligible submission to PPM and Client			
3.6	PPM sends Management's response to Requestors	Following receipt of Management's response	Promptly	6.6.4(b)
3.7	PPM facilitates and documents agreement of the parties on DR approach, methodology, issues and timeline	Following receipt of Management's response	30	6.6.4(c)
3.8	PPM facilitates formulation and signature of DR agreement by the parties	Following documented agreement on DR approach, methodology, issues and timeline	As per agreement on DR approach, methodology, issues and timeline	6.6.4(d)
3.9	If the parties agree to disclose the DR agreement, PPM discloses it. If the parties do not agree to disclose the DR agreement, PPM prepares and discloses a summary of the DR process and outcome	Following signing of the DR agreement	10	6.6.4(e)
3.10	PPM monitors and reports to the Board on implementation of the DR Agreement	Following signing of the DR agreement	As per schedule agreed in DR agreement	6.6.4(f)
3.11	PPM discloses its monitoring reports on implementation of the DR agreement	Following circulation of the monitoring report to the Board	10	6.6.4(f)
3.12	PPM may terminate the DR process if a DR agreement is unlikely	Following Management's response	90	6.6.4(g)
3.13	If no DR agreement is reached, PPM prepares and circulates a summary of the	Following termination of the DR process	10	6.6.4(i)

Step Number	Steps for Submissions ⁴	Trigger for Step	Working Days from Trigger	Rules of Procedure Section
	DR process and outcome to the Board			
3.14	If no DR agreement is reached, PPM discloses its summary of the DR process and outcome	Following circulation of PPM's summary to the Board	10	6.6.4(i)
Compliance Review (CR)				
4.1	PPM screens the submission for general eligibility and prepares its eligibility report	Following PPM's acknowledgment of receipt of the submission	20	6.4.5(a), (b); 6.7.3(a)
4.2	PPM sends its eligibility report to Requestors and Management	Following PPM's preparation of its eligibility report	Promptly	6.4.5(b)
4.3	If PPM determines the submission is eligible, PPM registers it, and sends its eligibility report, together with the submission and its acknowledgment of receipt of the submission to the Board and Management	Following PPM's preparation of its eligibility report	5	6.4.5(c)
4.4	PPM discloses the eligible submission, its acknowledgment of receipt of the submission and its eligibility report	Following PPM's circulation of the submission, its acknowledgment and its eligibility report to the Board and Management	10	6.4.5(c)
4.5	Management prepares and sends its response to PPM	Following receipt of PPM's eligibility report	30	6.7.3(b)
4.6	PPM determines if the submission meets the additional CR eligibility criteria and if so, whether to recommend a CR or whether another course of action is appropriate and whether the other course of	Following receipt of Management's response	30	6.7.3(c)

Step Number	Steps for Submissions ⁴	Trigger for Step	Working Days from Trigger	Rules of Procedure Section
	action requires Board approval			
4.7	If PPM recommends Board approval of CR or of another course of action, it submits its recommendation to the Board; otherwise, PPM submits an information report to the Board and Management	Following PPM's determination	Promptly	6.7.3(d)
4.8	If PPM makes a recommendation to the Board, it informs Requestors of the Board's decision; Management notifies Client of the Board's decision	Following the Board's decision	5	6.7.3(e)
4.9	If PPM makes no recommendation to the Board, it so informs Requestors; Management so informs Client	Following PPM's submission of its information report to the Board	Promptly	6.7.3(e)
4.10	If PPM makes a recommendation to the Board, PPM discloses the Board's decision. If PPM decides not to recommend a CR or another course of action, or decides to pursue a course of action not requiring Board approval, PPM discloses its report to the Board explaining its decision.	Following the Board's decision or submission of the report to the Board.	10	6.7.3(f)
4.11	If the Board approves a CR, PPM prepares draft TOR for the CR and seeks comments from the Policy and Strategy Committee of the Board	Following the Board's decision	15	6.7.3(g)

Step Number	Steps for Submissions⁴	Trigger for Step	Working Days from Trigger	Rules of Procedure Section
4.12	PPM submits the final TOR to the Board for information	Following finalization of the TOR	Promptly	6.7.3(g)
4.13	PPM discloses the final TOR	Following submission of the final TOR to the Board	10	6.7.3(g)
4.14	PPM forms a Project-specific Task Force for the CR	Following the Board's approval of the CR	Promptly	6.7.3(h)
4.15	PPM initiates the CR	Following the Board's approval of the CR	30	6.7.3(i)
4.16	PPM prepares and circulates its draft CR report to Requestors for comment and to Management for a response	Following PPM's commencement of the CR	60	6.7.3(m)
4.17	Management circulates the draft CR report to Client for comment	Following receipt of PPM's draft CR report	Promptly	6.7.3(m)
4.18	If the CR report concludes with no findings of noncompliance, Client may provide its comments on the draft CR report to Management	Following receipt of PPM's draft CR report	15	6.7.3(n)
4.19	If the CR report concludes with no findings of noncompliance, Management finalizes and provides its response to PPM	Following receipt of the PPM's draft CR report	30	6.7.3(n)
4.20	If the CR report concludes with no findings of noncompliance, Requestors may provide their comments on the draft CR report to PPM	Following receipt of PPM's draft CR report	30	6.7.3(n)
4.21	If PPM determines there was noncompliance, Requestors may provide	Following receipt of PPM's draft CR report	45	6.7.3(o)

Step Number	Steps for Submissions ⁴	Trigger for Step	Working Days from Trigger	Rules of Procedure Section
	their comments on the draft CR report to PPM, and Client may provide its comments on the draft CR report to Management			
4.22	If PPM determines there was noncompliance, Management updates its response and prepares and circulates a draft MAP to PPM, Client and Requestors for comment	Following receipt of PPM's draft CR report	90	6.7.3(p)
4.23	If PPM determines there was noncompliance, Requestors and PPM may provide their comments on draft MAP to Management	Following circulation of the draft MAP to Requestors and PPM	15	6.7.3(p)
4.24	If PPM determines there was noncompliance, Management updates and sends its response and the MAP to PPM	Following deadline for receipt of comments from Requestors and PPM	30	6.7.3(p)
4.25	PPM finalizes the CR report, taking into account Management's response (and MAP, if applicable) and sends it to Management	Following receipt of Management's response (and draft MAP if applicable)	15	6.7.3(q)
4.26	If PPM determines there was noncompliance, Management finalizes the MAP to address findings in PPM's final CR report, and sends it to PPM	Following receipt of PPM's final CR report	15	6.7.3(r)
4.27	PPM submits the final CR report, Management's response and final MAP (if applicable) to the Board (the MAP is subject to Board approval)	Following receipt of Management's response (and MAP, if applicable)	Promptly	6.7.3(s)

Step Number	Steps for Submissions⁴	Trigger for Step	Working Days from Trigger	Rules of Procedure Section
4.28	If PPM makes no findings of noncompliance, it circulates the final CR report and Management's response to Requestors and to the Board for information; and Management circulates the same to Client.	Following finalization of PPM's CR report	Promptly	6.7.3(t)
4.29	If PPM concludes with no findings of noncompliance, it discloses the final CR report and Management's response	Following circulation of final CR to the Board	10	6.7.3(t)
4.30	If PPM determines there was noncompliance, it circulates the final CR report, together with Management's response and the Board-approved MAP to Requestors; and Management circulates the same to Client	Following the Board's approval of the MAP	10	6.7.3(t)
4.31	If PPM determines there was noncompliance, it discloses the final CR report, together with Management's response and the Board-approved MAP	Following the Board's approval of the MAP	10	6.7.3(t)
4.32	If PPM determines there was noncompliance, Management monitors and reports to the Board on the MAP	Once implementation period of the MAP begins	As per the MAP timelines	6.7.3(u)
4.33	If PPM determines there was noncompliance, it reviews Management's MAP monitoring reports and prepares and sends a periodic MAP status report to the Board	Following receipt of Management's MAP monitoring report	At least annually	6.7.3(u)

Step Number	Steps for Submissions⁴	Trigger for Step	Working Days from Trigger	Rules of Procedure Section
4.34	PPM finalizes and discloses its MAP status report	Following circulation of the MAP status report to the Board	10	6.7.3 (u)