

## Project Summary Information (PSI)

Report No:0004

Project Name	Indonesia: National Slum Upgrading Project (NSUP)
Country	The Republic of Indonesia (GoI)
Sector	Other Social Services (20%), Urban Transport (20%), Solid Waste Management (60%)
Project No.	000004
Guarantor	The Republic of Indonesia
Borrower	GoI
Implementation Agency	Ministry of Public Works and Housing (MPWH)
Environmental and Social Category	Category B
Date of PSI prepared or updated	June 1, 2016 (updated on March 21, 2019)
Date of Appraisal Approval	April 21, 2016
Date of Board Approval	June 24, 2016

### I. Introduction

The objective of the proposed co-financed project is to improve access to urban infrastructure and services in targeted slums in Indonesia; the Bank's proposed co-financing would support the Government (GoI)'s program of urban slum infrastructure investments for 154 cities in the central and eastern parts of Indonesia.

Co-financing would be with the World Bank (WB), who would be the lead co-financier. The WB's procurement policy and environmental and social safeguard policies (ESSP) and procedures would be used for the implementation of this project.

For further details on the Country and Sector context of the project, see the WB's Project Information Document (PID) at:

<http://documents.worldbank.org/curated/en/755311468261274690/Project-Information-Document-Appraisal-Stage-Indonesia-National-Slum-Upgrading-Project-P154782>

### II. Project Objectives and Expected Results

The overall project development objective is to improve access to urban infrastructure and services in targeted slums in Indonesia. Direct and indirect beneficiaries of the project are expected to include 9.7 million slum dwellers living in 154 cities. Slum dwellers are likely to experience significant betterment of living conditions due to improvements in access to urban infrastructure and services.

### III. Project Description

The Project consists of the following five components:

***Component 1: Institutional and Policy Development***

- Support institutional strengthening and capacity building of central government ministries and agencies, which are responsible for the management of the national slum upgrading program, through carrying out such activities as: (a) institutional analysis at the national level and at a sample of municipal governments to identify the nature of support needed to facilitate inter-agency coordination during program preparation, implementation and supervision stages; (b) strategic national upstream policy level studies to facilitate the development of government policy to support the sustainability of slum upgrading and prevention efforts.

***Component 2: Integrated Planning Support and Capacity Building for Local Governments and Communities***

- Provide for the recruitment and deployment of experts and community facilitators to support the capacity of governments and communities in selected cities to design and implement slum improvements, including the development of Slum Improvement Action Plans (SIAPs) and Community Settlement Plans (CSPs).

***Component 3: Urban Infrastructure and Services in Selected Cities***

- Carry out sub-projects to support primary and secondary infrastructure improvement and site development as well as construction of connecting infrastructure in selected Cities as identified in SIAPs.
- Provide Kelurahan Grants to Participating Kelurahans (lowest Indonesian administrative division, for small urban communities within districts) to fund Sub-projects for tertiary infrastructure upgrading in selected cities based on a community-driven approach – including, inter alia, small scale water supply, drainage, sanitation, footpaths, fire safety and site improvements – as well as for strengthening community-based livelihoods through the construction of livelihood-enabling facilities and services that are important for slum prevention.

***Component 4: Implementation Support and Technical Assistance***

- Provide technical support, management, advisory services and training for project management and stakeholder collaboration at national, provincial and city levels, as well as monitoring and evaluation.

***Component 5: Contingency for Disaster Response***

- Provide preparedness and rapid response measures to address disaster, emergency and/or catastrophic events, as needed, through carrying out sub-projects and/or using the project implementation arrangements.

**IV. Environmental and Social Category**

The AIIB has decided to use the WB's ESSP since (i) they are consistent with AIIB's Articles of Agreement and materially consistent with the provisions of AIIB's Environmental and Social Policy and relevant Environmental and Social Standards; and (ii) the monitoring procedures that the WB has in place to ascertain compliance with the WB ESSP are appropriate for the project. Under the WB ESSP, the project has been assigned Category B, as the potential impacts are expected to be site specific, readily identified and addressed through mitigation and monitoring measures that were

defined in an Environmental and Social Management Framework (ESMF) prepared for the project.

The ESMF has been disclosed in Indonesia and is posted on the following WB website:  
<http://documents.worldbank.org/curated/en/2016/02/25865423/indonesia-national-urban-slum-upgrading-program-project-environmental-assessment-environmental-social-management-framework>

<http://documents.worldbank.org/curated/en/852301468039020966/pdf/SFG1747-REVISED-EA-P154782-Box394869B-PUBLIC-Disclosed-3-3-2016.pdf>

## V. Estimated Project Cost and Financing Source

The project is estimated to cost US\$ 1,743 million. The financing sources are as follows (in USD million):

Total Project Cost:	1,743.00	Total AIIB Financing:	216.50
Financing Gap:	0.00		
<b>For Loans/Credits/Others</b>			<b>Amount</b>
Borrower			1,310.00
AIIB			216.50
World Bank			216.50
Total			1,743.00

## VI. Implementation

Further details on the implementation arrangements for this project can be found in the WB PID using the hyperlink given above in paragraph 3.

### Contact Points

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